

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L74899DL1994PLC128577

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADCB0657N

(ii) (a) Name of the company

CAPITAL INDIA FINANCE LIMIT

(b) Registered office address

2nd Floor, DLF Centre
Sansad Marg
New Delhi
New Delhi
Delhi
110001

(c) *e-mail ID of the company

secretarial@capitalindia.com

(d) *Telephone number with STD code

01149546000

(e) Website

www.capitalindia.com

(iii) Date of Incorporation

16/11/1994

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	BOMBAY	1

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CAPITAL INDIA HOME LOANS L	U65990DL2017PLC322041	Subsidiary	100
2	CAPITAL INDIA ASSET MANAGI	U65999DL2017PTC323549	Subsidiary	100

3	CAPITAL INDIA WEALTH MANA	U65999DL2017PTC322881	Subsidiary	100
4	CIFL HOLDINGS PRIVATE LIMIT	U65990DL2017PTC323832	Subsidiary	100
5	CIFL INVESTMENT MANAGER P	U65929DL2017PTC323719	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	20,400,000	3,502,700	3,502,700	3,502,700
Total amount of equity shares (in Rupees)	204,000,000	35,027,000	35,027,000	35,027,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	20,400,000	3,502,700	3,502,700	3,502,700
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	204,000,000	35,027,000	35,027,000	35,027,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	1,000,000	0	0	0
Total amount of preference shares (in rupees)	10,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
PREFERENCE SHARES				
Number of preference shares	1,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	10,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	3,502,700	35,027,000	35,027,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	3,502,700	35,027,000	35,027,000	
Preference shares				
At the beginning of the year	0	0	0	

Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

28/09/2017

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			75,527,033
Deposit			0
Total			75,527,033

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

257,089,358

(ii) Net worth of the Company

69,018,874

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,232,300	63.73	0	
10.	Others	0	0	0	
	Total	2,232,300	63.73	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	522,152	14.91	0	
	(ii) Non-resident Indian (NRI)	400	0.01	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	747,348	21.34	0	
10.	Others Clearing Member	500	0.01	0	
	Total	1,270,400	36.27	0	0

Total number of shareholders (other than promoters)

458

**Total number of shareholders (Promoters+Public/
Other than promoters)**

459

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	810	458
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	3	5	4	0	0
(i) Non-Independent	1	0	2	4	0	0
(ii) Independent	0	3	3	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	3	5	4	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
VINOD KUMAR SOMAN	00327231	Director	0	
ACHAL KUMAR GUPTA	02192183	Director	0	
PROMILA BHARDWAJ	06428534	Director	0	
KESHAV PORWAL	06706341	Managing Director	0	
AMIT SAHAI KULSHRE	07869849	Director	0	
SHRADDHA KAMAT S	07555355	Director	0	
VINEET KUMAR SAXE	07710277	Director	0	
SUBODH KUMAR	07781250	Director	0	
RAHUL RAMESHKUMAR	07541089	Director	0	02/06/2018
AMIT SAHAI KULSHRE	AHXPK3820E	CEO	0	
NEERAJ TOSHNIWAL	ACCPT2249N	CFO	0	
RACHIT MALHOTRA	BJRPM7845Q	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
KESHAV PORWAL	06706341	Additional director	27/11/2017	APPOINTMENT
AMIT SAHAI KULSHRE	07869849	Additional director	27/11/2017	APPOINTMENT
VINEET KUMAR SAXE	07710277	Additional director	27/11/2017	APPOINTMENT
SHRADDHA KAMAT SU	07555355	Additional director	27/11/2017	APPOINTMENT
SUBODH KUMAR	07781250	Additional director	27/11/2017	APPOINTMENT
AMIT SAHAI KULSHRE	AHXP3820E	CEO	27/11/2017	APPOINTMENT
RAHUL RAMESHKUMA	07541089	Director	27/11/2017	CHANGE IN DESIGNATION
VINOD KUMAR SOMAN	00327231	Additional director	20/12/2017	APPOINTMENT
ACHAL KUMAR GUPTA	02192183	Additional director	20/12/2017	APPOINTMENT
PROMILA BHARDWAJ	06428534	Additional director	20/12/2017	APPOINTMENT
NEERAJ TOSHNIWAL	ACCPT2249N	CFO	11/01/2018	APPOINTMENT
RACHIT MALHOTRA	BJRPM7845Q	Company Secretar	11/01/2018	APPOINTMENT
SATISH KUMAR SHAR	00536970	Director	27/11/2017	CESSATION
SAMAI SINGH	00235036	Director	27/11/2017	CESSATION
SEEMA KUMARI	07158452	Director	27/11/2017	CESSATION
SUKOMAL BHUNYA	AIFPB3411M	CFO	27/11/2017	CESSATION
HIMMAT SINGH BEDLA	AJGPB8454N	CEO	27/11/2017	CESSATION
ARCHANA AGGARWAI	AYXPA4515B	Company Secretar	11/01/2018	CESSATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	28/09/2017	809	13	51.99

B. BOARD MEETINGS

*Number of meetings held

11

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	17/04/2017	4	3	75
2	29/05/2017	4	4	100
3	03/06/2017	4	4	100
4	03/07/2017	4	4	100
5	10/08/2017	4	3	75
6	30/08/2017	4	4	100
7	13/11/2017	4	4	100
8	27/11/2017	4	3	75
9	20/12/2017	6	4	66.67
10	11/01/2018	9	7	77.78
11	14/02/2018	9	7	77.78

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	29/05/2017	3	3	100
2	Audit Committee	10/08/2017	3	3	100
3	Audit Committee	13/11/2017	3	3	100
4	Audit Committee	14/02/2018	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
5	Stakeholder R	07/04/2017	3	3	100
6	Stakeholder R	17/04/2017	3	3	100
7	Stakeholder R	31/05/2017	3	3	100
8	Stakeholder R	22/07/2017	3	3	100
9	Stakeholder R	02/08/2017	3	3	100
10	Stakeholder R	02/09/2017	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/06/2018
								(Y/N/NA)
1	VINOD KUMA	2	2	100	1	1	100	Yes
2	ACHAL KUMA	2	2	100	1	1	100	Yes
3	PROMILA BH,	2	2	100	0	0	0	No
4	KESHAV POR	3	3	100	0	0	0	Yes
5	AMIT SAHAI K	3	3	100	0	0	0	Yes
6	SHRADDHA K	3	0	0	0	0	0	No
7	VINEET KUM,	3	3	100	1	1	100	Yes
8	SUBODH KUM	3	3	100	0	0	0	Yes
9	RAHUL RAME	11	6	54.55	13	12	92.31	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Keshav Porwal	Managing Director	3,790,960	0	0	0	3,790,960
2	Amit Sahai Kulshres	Director & CEO	3,634,891	0	0	0	3,634,891
	Total		7,425,851	0	0	0	7,425,851

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rachit Malhotra	Company Secre	558,151	0	0	0	558,151
2	Archana Aggarwal	Company Secre	108,000	0	0	0	108,000
3	Sukomal Bhumya	CFO	581,800	0	0	0	581,800
4	Neeraj Toshniwal	CFO	1,595,994	0	0	0	1,595,994
	Total		2,843,945	0	0	0	2,843,945

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

VINITA RANI

Whether associate or fellow

Associate Fellow

Certificate of practice number

14678

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

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dated

27/11/2017

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PORWAL KESHAV
Digitally signed by
PORWAL KESHAV
Date: 2018.08.16
15:57:19 +0530'

DIN of the director

06706341

To be digitally signed by

RACHIT MALHOTRA
Digitally signed by
RACHIT MALHOTRA
Date: 2018.08.16
15:57:57 +0530'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders_CIFL_March 31 2018
MGT-8_CIFL.pdf
Share Transfer Details as on March 31 201
List of Committee meetings.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company