

<b>General information about company</b>	
Scrip code	530879
NSE Symbol	
MSEI Symbol	
ISIN	INE345H01016
Name of the entity	Capital India Finance Limited
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Vinod Kumar Somani	AAOPS3831M	03272312	Non-Executive - Independent Director	Chairperson		20-12-2017		15	1	2	2		
2	Mr	Yogendra Pal Singh	AAMPS1251M	08347484	Non-Executive - Independent Director	Not Applicable		13-02-2019		1	1	1	0		
3	Mrs	Promila Bhardwaj	AADPB3674L	06428534	Non-Executive - Independent Director	Not Applicable		20-12-2017		15	3	6	0		
4	Mr	Keshav Porwal	AGMPP7963P	06706341	Executive Director	Not Applicable	MD	27-11-2017			1	0	0		

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

**Disclosure of notes on composition of board of directors explanatory**

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Amit Sahai Kulshreshtha	AHXPK3820E	07869849	Executive Director	Not Applicable	CEO	27-11-2017			1	0	0		
6	Mr	Vineet Kumar Saxena	AKFPS0894P	07710277	Non-Executive - Non Independent Director	Not Applicable		27-11-2017			1	2	0		
7	Mr	Subodh Kumar	CKMPK9457P	07781250	Non-Executive - Non Independent Director	Not Applicable		27-11-2017			1	0	0		
8	Ms	Shraddha Suresh Kamat	AQYPK7269F	07555355	Non-Executive - Non Independent Director	Not Applicable		27-11-2017			1	0	0		

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

**Disclosure of notes on composition of board of directors explanatory**

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	Achal Kumar Gupta	ACJPG8945M	02192183	Non-Executive - Independent Director	Not Applicable		20-12-2017	13-02-2019	13	2	3	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03272312	Vinod Kumar Somani	Non-Executive - Independent Director	Chairperson	20-12-2017		
2	07710277	Vineet Kumar Saxena	Non-Executive - Non Independent Director	Member	20-12-2017		
3	08347484	Yogendra Pal Singh	Non-Executive - Independent Director	Member	27-03-2019		
4	02192183	Achal Kumar Gupta	Non-Executive - Independent Director	Member	20-12-2017	13-02-2019	

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Chairperson	03-05-2018		
2	02192183	Achal Kumar Gupta	Non-Executive - Independent Director	Member	20-12-2017	13-02-2019	
3	08347484	Yogendra Pal Singh	Non-Executive - Independent Director	Member	27-03-2019		
4	07710277	Vineet Kumar Saxena	Non-Executive - Non Independent Director	Member	20-12-2017		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03272312	Vinod Kumar Somani	Non-Executive - Independent Director	Chairperson	20-12-2017		
2	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Member	03-05-2018		
3	07710277	Vineet Kumar Saxena	Non-Executive - Non Independent Director	Member	20-12-2017		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson						Date of Cessation	Remarks
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment		
1	02192183	Achal Kumar Gupta	Member	Chairperson	27-03-2019		
2	06706341	Keshav Porwal	Executive Director	Member	11-01-2018		
3	07869849	Amit Sahai Kulshreshtha	Executive Director	Member	11-01-2018		





<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory				
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
1	09-12-2018			
2		13-02-2019	65	

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
1	Audit Committee	13-02-2019	Yes	2	01-11-2018	103		
2	Nomination and remuneration committee	13-02-2019	Yes	2	01-11-2018	103		
3	Risk Management Committee	13-02-2019	Yes	2	09-12-2018	65		

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

**Annexure 1****VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Rachit Malhotra
2	Designation	Company Secretary and Compliance Officer

**Annexure II****Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)****I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		<a href="https://capitalindia.com/products-overview">https://capitalindia.com/products-overview</a>
2	Terms and conditions of appointment of independent directors	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
3	Composition of various committees of board of directors	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
4	Code of conduct of board of directors and senior management personnel	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
6	Criteria of making payments to non-executive directors	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
7	Policy on dealing with related party transactions	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
8	Policy for determining 'material' subsidiaries	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>
9	Details of familiarization programmes imparted to independent directors	Yes		<a href="https://capitalindia.com/investor-corporate-governance">https://capitalindia.com/investor-corporate-governance</a>



**Annexure II****Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)****I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		<a href="https://capitalindia.com/contact-us">https://capitalindia.com/contact-us</a>
11	email address for grievance redressal and other relevant details	Yes		<a href="https://capitalindia.com/contact-us">https://capitalindia.com/contact-us</a>
12	Financial results	Yes		<a href="https://capitalindia.com/investor-financial-results">https://capitalindia.com/investor-financial-results</a>
13	Shareholding pattern	Yes		<a href="https://capitalindia.com/investor-shareholding-pattern">https://capitalindia.com/investor-shareholding-pattern</a>
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	Yes		<a href="https://capitalindia.com/">https://capitalindia.com/</a>

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25 (6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	NA	

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

<b>Annexure II</b>		
1	Name of signatory	Rachit Malhotra
2	Designation	Company Secretary and Compliance Officer

1	Name of signatory	Rachit Malhotra
2	Designation	Company Secretary and Compliance Officer

**Annexure II**

**III. Affirmations**

<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

<b>Annexure II</b>		
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1	Name of signatory	Rachit Malhotra
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of signatory	Rachit Malhotra
Designation of person	Company Secretary and Compliance Officer
Place	Delhi
Date	03-04-2019



