

April 10, 2021

Ref: CIFL/BSE/2021-22/04

To,
The Manager-Listing
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400001

Ref: Scrip Code – BSE - 530879

Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half – year ended on March 31, 2021

Dear Sir/Madam,

In compliance with Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we are hereby submitting a Certificate, duly signed by M/s Arun Gupta & Associates, Company Secretaries, for the half year ended on March 31, 2021.

We request to take the above information on your record and oblige.

Thanking You,

For Capital India Finance Limited


Rachit Malhotra
Company Secretary & Compliance Officer
Membership No.: A39894



Encl: As above

Corporate office :
A-1402, One Bkc, 14th Floor,
G - Block, Bandra Kurla Complex,
Bandra (East) Mumbai,
Maharashtra- 400051

Registered Office :
2nd Floor, DLF Centre,
Sansad Marg,
New Delhi - 110001

P : +91 22 4503 6000
E : info@capitalindia.com
CIN No: L74899DL1994PLC128577
(Capital India Finance Limited)

P : +91 11 4954 6000
W : www.capitalindia.com

Arun Gupta & Associates

Company Secretaries

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED MARCH 31, 2021

We have examined Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Capital India Finance Limited** ("Company") having registered office at 2nd Floor, DLF Centre, Sansad Marg New Delhi-110001 maintained by **KFin Technologies Private Limited** (formerly known as Karvy Fintech Private Limited) ("Registrar and Share Transfer Agent" (RTA) pertaining to transfer of equity shares of the Company for the period from **October 01, 2020 to March 31, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, we hereby certify that the Company has not delivered or rejected on technical grounds during the half year ended on March 31, 2021:

- A. Share Certificate(s) relating to the transfer of Shares during the period from October 01, 2020 to March 31, 2021 within thirty days of the date of lodgement for transfer, sub-division, consolidation and renewal; (as no request was received)
- B. Share Certificate(s) in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. (as no request was received)

**For Arun Gupta & Associates
Company Secretaries**



Arun Kumar Gupta
Proprietor

W. No. : A21227

C.P. No. : 8003

UDIN: A021227C000040158



Date: 09/04/2021

Place: New Delhi