

Ref. No.: CIFL/BSE/2024-25/04

Friday, April 26, 2024

To,  
Manager-Listing  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001

**Sub.: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

Dear Sir/Madam,

In compliance with Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("**Listing Regulations**"), we, Capital India Finance Limited ("**Company**") hereby submit the Certificate under Regulation 40(9) of the Listing Regulations, duly issued and signed by M/s. Arun Gupta & Associates, Company Secretaries, for the year ended on March 31, 2024.

We request to take the above information on record and oblige.

Thanking You  
For **Capital India Finance Limited**

  
**Rachit Malhotra**  
Chief Compliance Officer & Company Secretary  
Membership No. A39894



Place: New Delhi

Enc.: as above

Corporate office :  
Level - 20, Birla Aurora,  
Dr. Annie Besant Road,  
Worli, Mumbai,  
Maharashtra- 400030

P : +91 22 49036000  
E : [info@capitalindia.com](mailto:info@capitalindia.com)  
CIN No: L74899DL1994PLC128577  
( Capital India Finance Limited )

Registered Office :  
2nd Floor, DLF Centre,  
Sansad Marg,  
New Delhi - 110001

P : +91 11 4954 6000  
W : [www.capitalindia.com](http://www.capitalindia.com)

# Arun Gupta & Associates

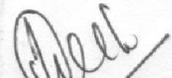
*Company Secretaries*

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

We have examined Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Capital India Finance Limited (CIN: L74899DL1994PLC128577) ("the Company") having its registered office at 2<sup>nd</sup> Floor, DLF Centre, Sansad Marg, New Delhi, Delhi – 110001 maintained by KFin Technologies Limited, Registrar and Share Transfer Agent of the Company ("RTA"), pertaining to transfer of equity shares of the Company for the period from **April 1, 2023 to March 31, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, we hereby certify that the Company has not delivered or rejected on technical grounds during the financial year ended on March 31, 2024:

- A. Share Certificate(s) relating to the transfer of Shares during the period from **April 1, 2023 to March 31, 2024** within thirty days of the date of lodgment for transfer, sub-division, consolidation and renewal; (as no request was received)
- B. Share Certificate(s) in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. (as no request was received)

For Arun Gupta & Associates  
Company Secretaries  
Firm Regn. No. I2008DE626100

Arun Kumar Gupta  
Proprietor

Membership No.: A21227

C.P. No.: 8003

PR 1008/2020

UDIN: A021227F000247420

Place: New Delhi  
Date: April 26, 2024