

Ref. No.: CIFL/BSE/2022-23/10

Thursday, April 21, 2022

To,
Manager-Listing
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001

Sub.: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on March 31, 2022

Dear Sir/Madam,

In compliance with Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("**Listing Regulations**"), we, Capital India Finance Limited ("**Company**") hereby submit a Certificate under Regulation 40(9) of the Listing Regulations, duly issued and signed by M/s Arun Gupta & Associates, Company Secretaries, for the year ended on March 31, 2022.

We request to take the above information on your record and oblige.

Thanking You
For **Capital India Finance Limited**


Rachit Malhotra
Company Secretary & Compliance Officer
Membership No. A39894

Place: New Delhi

Enc.: as above

Corporate office :
Level - 20, Birla Aurora,
Dr. Annie Besant Road,
Worli, Mumbai,
Maharashtra- 400030

Registered Office :
2nd Floor, DLF Centre,
Sansad Marg,
New Delhi - 110001

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E : info@capitalindia.com
CIN No: L74899DL1994PLC128577
(Capital India Finance Limited)

P : +91 11 4954 6000
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Arun Gupta & Associates

Company Secretaries

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

We have examined Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Capital India Finance Limited** (CIN: L74899DL1994PLC128577) ("**the Company**") having registered office at 2nd Floor, DLF Centre, Sansad Marg, New Delhi-110001 maintained by **KFin Technologies Limited** (formerly known as *KFin Technologies Private Limited*), Registrar and Share Transfer Agent of the Company ("RTA"), pertaining to transfer of equity shares of the Company for the period from **April 1, 2021 to March 31, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, we hereby certify that the Company has not delivered or rejected on technical grounds during the financial year ended on March 31, 2022:

- A. Share Certificate(s) relating to the transfer of Shares during the period from **April 1, 2021 to March 31, 2022** within thirty days of the date of lodgement for transfer, sub-division, consolidation and renewal; (as no request was received)
- B. Share Certificate(s) in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. (as no request was received)

**For Arun Gupta & Associates
Company Secretaries**



Arun Kumar Gupta
Proprietor
M. No. 21227
C P No. 8003
UDIN: A021227D000176415

Place: New Delhi
Date: 21/04/2022

CC: KFin Technologies Limited